

## NOTICE OF NON-APPLICABILITY No Exposure Certification

**Submission of this No Exposure Certification (NEC) constitutes notification by the facility operator identified on this form that there is no exposure of the facility's industrial activities, equipment, and materials to storm water in accordance with the definition of Category 10 dischargers of the Industrial Activities Storm Water General Permit No. 97-03-DWQ (General Permit). Please complete the Certification and Checklist.**

### **I. CERTIFICATION**

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to ensure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted, is to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations. Additionally, I certify that:

- (1) All prohibited non-storm water discharges have been eliminated or otherwise permitted.
- (2) All areas of past exposure have been inspected and cleaned, as appropriate.
- (3) All materials related to industrial activity (including waste materials) are not exposed to storm water or authorized non-storm water discharges.
- (4) All industrial activities and industrial equipment are not exposed to storm water or authorized non-storm water discharges.
- (5) There is no exposure of storm water to materials associated with industrial activities through direct or indirect pathways such as from industrial activities that generate dust and particulates.
- (6) There is periodic re-evaluation of the facility to ensure conditions (1), (2), (3), (4), and (5), are continuously met.

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

This No Exposure Certification must be signed by, (a) For a Corporation: a responsible corporate officer (or authorized official), (b) For a Partnership or Sole Proprietorship: a general partner or proprietor, respectively, (c) For a Municipality, State, or other Non-Federal Public Agency: either a principal executive officer or ranking elected official, (d) For a Federal Agency: either the chief or senior executive officer of the agency.

### **CHECKLIST TO EVALUATE POTENTIAL STORM WATER POLLUTANT SOURCES**

The purpose of this checklist is to 1) help you determine whether the exposure of industrial activities, materials, and equipment to storm water has been eliminated at the facility, and 2) help Regional Water Board staff evaluate the adequacy of your compliance activities and No Exposure Certification (NEC). Please answer all questions. Answering "YES" to a question does not negate your NEC. For each "yes" answer, explain what you are doing to eliminate or prevent exposure from the potential source. For example, if there are liquid storage tanks outdoors but all the storm water is collected behind secondary containment structures and discharged to the sanitary sewer, then the potential source for storm water exposure may be satisfactorily eliminated. For the purpose of this questionnaire, "outdoors" are areas of the facility that are not beneath permanent roofed structures.

<b>1. All prohibited non-storm water discharges have been eliminated or otherwise permitted.</b>		
	<b>YES</b>	<b>NO</b>
a. Are materials or equipment cleaned outdoors?		
b. Are wash or rinse waters generated on-site? Disposal method?		
c. Are there any discharges (other than storm water) entering the storm drain system?		
d. Do any drains under roofed areas discharge to the storm drain system?		
e. Have there been any accidental spills into the storm drain system in the last year?		
f. Are any process waste waters disposed of outdoors? If yes, list disposal method?		
<b>2. All materials related to industrial activity (including waste materials) are not exposed to storm water or authorized non-storm water discharges.</b>		
	<b>YES</b>	<b>NO</b>
a. Are there any materials stored outdoors?		
b. Are there any materials handled outdoors?		
c. Are there any outdoor loading docks?		
d. Are there any above ground liquid or non-liquid storage tanks outdoors?		
e. Are there any outdoor loading/unloading operations?		
f. Are there any products or by-products manufactured or used outdoors?		
g. Are there any waste products manufactured or used outdoors?		
h. Are there any outdoor waste disposal areas?		
i. Is any process wastewater disposed of outdoors?		
j. Are there any drums, pallets, or containers outdoors?		
k. Are materials handled/stored on immediate access roads/railways?		
l. Are vehicles maintained or fueled outdoors?		
m. Are any materials stored or disposed of in outdoor ponds or impoundments?		
n. Are materials stored outdoors temporarily?		
o. Does any manufacturing take place outdoors?		
p. Have there been any spills or leaks outdoors in the last year?		
q. Are there areas where materials remain exposed to storm water from past industrial activity?		
<b>3. All industrial activities and industrial equipment are not exposed to storm water or authorized non-storm water discharges.</b>		

	YES	NO
a. Are any material handling vehicles (such as forklifts) parked outdoors?		
b. Is permanent industrial equipment located outdoors?		
c. Is portable industrial equipment used outdoors?		
d. Do any material handling vehicles (such as forklifts and trucks) or outdoor industrial equipment come into contact with materials?		
e. Is there any unhoued rooftop equipment (such as air conditioners, scrubbers, etc.)?		
<b>4. There is no exposure of storm water to significant materials associated with industrial activities through direct or indirect pathways such as from industrial activities that generate dust and particulates.</b>		
	YES	NO
a. Are there any emissions of dust or particles from stacks or air exhaust systems?		
b. Are there any emissions of dust or particles from other outlets such as windows, loading docks, etc.?		
c. Have there been any spills or leaks associated with maintenance of stacks or air exhaust systems?		

**REGIONAL WATER BOARD USE ONLY**

<input type="checkbox"/> Approved <input type="checkbox"/> Denied	<input type="checkbox"/> Retained at Regional Board Office <input type="checkbox"/> Returned to Applicant
Printed Name _____	Signature _____      Date ____/____/____

**STATE AND REGIONAL BOARD  
 CONTACT LIST**  
 Contact List is located at  
[www.swrcb.ca.gov/html/stormwtr.html](http://www.swrcb.ca.gov/html/stormwtr.html)  
 under *Contacts*

**CITY OF VISTA USE ONLY**

<b>Inspected by:</b>	<b>Date:</b>	<b>Approved:</b>
		<input type="checkbox"/> Yes <input type="checkbox"/> No