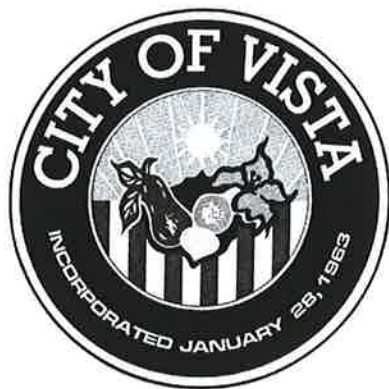


**Substantial Amendment to the Consolidated Plan 2008 Action Plan for
Community Development Block Grant – R Funding
City of Vista**

DRAFT



Grantees eligible to receive funds under the Community Development Block Grant Recovery Act (CDBG-R) are required to complete a substantial amendment to their Consolidated Plan 2008 Action Plan. The Annual Action Plan describes the programs and funds the City utilizes to carry out the one-year grant cycle. The Annual Action Plan covers the period July 1, 2008 to June 30, 2009. This period corresponds with the City's 2008/09 fiscal year. This form sets forth the format for this substantial amendment. A completed form is due to HUD by June 5, 2009. The intent of the CDBG-R funding is to stimulate the economy through measures that modernize infrastructure, improve

energy efficiency, and expand educational opportunities and access to health care. HUD strongly urges grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings.

To aid grantees in meeting this submission deadline, the CDBG-R Notice reduces the requirement for a 30-day public comment period to no less than seven calendar days for this substantial amendment. With this exception, CDBG-R grantees are required to follow their Consolidated Plan's citizen participation process. To maximize transparency, HUD strongly recommends that each grantee post its substantial amendment materials on the grantee's official website as the materials are developed.



A complete submission contains the following three documents:

- 1) A signed and dated SF-424,
- 2) A Substantial Amendment (this form), and
- 3) Signed and dated General Consolidated Plan and CDBG-R certifications.

For additional information regarding the CDBG-R program, visit the HUD American Recovery and Reinvestment act of 2009 (www.hud.gov/recovery). HUD and its technical assistance providers will regularly update this site to include CDBG-R resources developed.

Information is submitted in accordance with the regulatory authority contained in each program rule. The information will be used to rate applications, determine eligibility, and establish grant amounts.

Public reporting burden for this collection of information is estimated to be 16 hours, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This information is required to obtain benefits. To the extent that any information collected is of a confidential nature, there will be compliance with Privacy Act requirements. However, the substantial amendment to the 2008/09 Annual Action Plan does not request the submission of such information.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Community Development Block Grant – R Funding
City of Vista**

A. General Information

Grantee Name	City of Vista
CDBG-R Contact Person	Cecilia Barandiaran
Title	Management Analyst
Address Line 1	600 Eucalyptus Avenue
Address Line 2	
City, State, Zip Code	Vista, CA 92084
Telephone	760.639.6191
Fax	760.639.6188
Email Address	cbarandiaran@cityofvista.com
Authorized Official (if different from Contact Person)	Rita L. Geldert
Title	City Manager
Address Line 1	600 Eucalyptus Avenue
Address Line 2	
City, State, Zip Code	Vista, CA 92084
Telephone	760.639.6131
Fax	760.639.6132
Email Address	rgeldert@cityofvista.com
Web Address where this Form is Posted	www.cityofvista.com

CDBG-R Amount	\$318,134
CFDA No. 14.253	Community Development Block Grant (CDBG) Entitlement/Recovery Act Funded (CDBG-R)

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Community Development Block Grant – R Funding
City of Vista**

B. Citizen Participation and Public Comment

1. Briefly describe how the grantee followed its citizen participation plan regarding this proposed substantial amendment (limit 250 words).

Consistent with our Citizen Participation Plan, City of Vista is undertaking several activities to provide reasonable notice of and opportunity to comment on this proposed substantial amendment to the 2008/09 Annual Action Plan. A notice of public hearing for the 2008/09 Substantial Amendment to the Annual Action Plan identifying a public comment period was published in the North County Times, a newspaper of general circulation for Vista on May 12, 2009. In addition, a public notice has been posted on the City's website that identified Vista's allocation, anticipated uses, and invited public comment at a community meeting held on May 14. The public will have a final opportunity to comment on the proposed amendment on May 26, when the City Council considers adoption of the amendment at a public meeting. Any public comments received will be taken into consideration prior to submittal of this amendment.

2. Provide the appropriate response regarding this substantial amendment by checking one of the following options:

- Grantee did not receive public comments.
- Grantee received and accepted all public comments.
- Grantee received public comments and did not accept one or more of the comments.

The public comment period is open from May 12, 2009, through May 26, 2009. This section is scheduled to be completed after the close of the public comment period.

3. Provide a summary of the public comments regarding this substantial amendment. Include a summary of any comments or views not accepted and the reasons for non-acceptance.

At the May 12, 2009 community meeting, one person did attend. Discussion ensued regarding the potential use of CDBG-R funding for the creation of an economic development project that focused on the creation of a community based catering staff operating out of a local community center as well as potential improvements to the community center. Project does not meet the time constraints related to the CDBG-R notice.

No other comments have been received to date.

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Community Development Block Grant – R Funding
City of Vista**

C. Use of CDBG-R Funds by Activity

1. Activity Description: For each activity, briefly describe the activities the jurisdiction will undertake with CDBG-R funds to address the priority needs and objectives. The grantee shall identify any other Recovery Act funding to be used in conjunction with each activity and total activity budgeted from all sources.
 - i. Activity Name
 - ii. Activity Narrative
 - iii. Jobs Created
 - iv. Additional Activity Information
 - v. Responsible Organization

2. Timing: For each activity, briefly describe the distribution and uses of the grantee's CDBG-R funds as specified in Title XII of Division A and Section 1602 of the Recovery Act. In selecting projects to be funded, recipients shall give priority to projects that can award contracts based on bids within 120 calendar days from the date the funds are made available to the recipient, and that for CDBG-R funds being used for infrastructure investments. Recipients shall give preference to activities that can be started and completed expeditiously, including a goal of using at least 50 percent of the funds for activities that can be initiated not later than 120 calendar days after February 17, 2009.

3. Economic Benefit: For each activity, briefly describe how the use of CDBG-R funds for the activity will maximize job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act by:
 - i. Preserving and creating jobs and promoting economic recovery
 - ii. Assist those persons most impacted by the recession
 - iii. Providing investment needed to increase economic efficiency
 - iv. Investing in transportation, environmental protection, or other infrastructure that will provide long-term benefits
 - v. Minimize or avoiding reductions in essential services
 - vi. Fostering energy independence

4. Jobs Created: For each activity, briefly describe the number of full-and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs).

5. Other Criteria: Briefly describe the activities that will be carried out with CDBG-R funds that promote energy conservation, smart growth, green building technologies, or reduce pollution emissions.

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
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Activity 1. Redevelopment Community Improvement Project

1. Activity Description

- a. Activity Name: Redevelopment Community Improvement Projects (RCIP).
- b. Activity Narrative: The City of Vista has identified several infrastructure needs within the Redevelopment Project Area and qualifying low- and moderate-income census tracts. The City of Vista strives to provide access to local public facilities that contribute to community and neighborhood development and improve private facilities that provide unique or necessary services. Improvement of public facilities in target neighborhoods, including upgrading or providing community facilities, is important in creating viable urban communities and a suitable living environment for low- and moderate-income residents. Target neighborhoods are often lacking adequate infrastructure improvements including paving, sidewalks, curbs and gutters, and street lighting. These neighborhoods often have inadequate community activity spaces such as parks and community centers.

The Redevelopment and Housing Department has been developing a list of neighborhoods that lack basic infrastructure needs in the Townsite and greater North Vista areas. Four preliminary projects were identified and necessary design work is underway. CDBG funds have only been utilized for design related costs. Two of the projects are located in the heart of the Vista Townsite Area, a predominantly very-low income Hispanic neighborhood with poor infrastructure. These projects include sidewalks on Townsite Drive from Indian Rock Road to 578 Townsite Drive – projected construction cost of \$260,000 and traffic calming measures for the intersection of Townsite Drive and N. Citrus Avenue – projected construction costs of \$108,000. The surrounding area that would benefit from the improvements includes census block groups 19206-2, 19206-1, 19502-1, 19502-2, and 19502-3 and predominantly 71-100% of the households living in this area meet the low- and moderate-income definitions.

The City of Vista is the responsible organization and anticipates that the project will create approximately 21 new construction related jobs. The project will enhance resident's livability through improved/new accessibility. The project is funded with a variety of resources including CDBG, Redevelopment Agency Revolving fund, and other financial resources as applicable.

2. Timing: The activity listed has been selected based upon its readiness to go out to bid. The design work is anticipated to be completed by the end of June 2009 and construction should be completed by December 2009. The City is able to award the CDBG-R allocation within 120 days from the date and it is anticipated that the project will be completed in an expeditious manner.
3. Economic Benefit: The City of Vista's Redevelopment Community Improvement Project (RCIP) was developed to target resources in neighborhoods within the Redevelopment Project Area that have the highest proportion of low-income households, population

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
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density, over-crowding, sub-standard structures, and inadequate infrastructure. Residents in the applicable low- and moderate-income census tracts have been impacted by the recession and the proposed project will augment CDBG and Redevelopment funds that have been utilized for project design.

4. Jobs Created: It is anticipated that this project will create approximately 21 jobs by going out to bid for the work. The anticipated positions include: including project manager, foreman, concrete crews, laborers, paving crews, traffic control, and striping markers. This does not include office staff, city staff, and other support. The work is of a short duration but the improvement provides increased safety for the community.
5. Other Criteria: The proposed development will incorporate any applicable energy conservation, smart growth, green-building technologies, or reduced pollution emissions components. In addition, Section 1605 of the Recovery Act applies in that all iron, steel, and manufactured goods used in construction, alteration, or repair of a CDBG-R assisted project must be produced in the United States.

Activity 2. Santa Fe/Mercantile Corridor Revitalization Project

1. Activity Description
 - a. Activity Name: Santa Fe/Mercantile Corridor Revitalization Project (Storm Drain Basin Improvements).
 - b. Activity Narrative: The South Santa Fe Corridor has suffered from outdated infrastructure, underutilization, and lack of private investment for many years. Redevelopment activities and projects that are currently underway will transform this aging commercial corridor into a vibrant, mixed-use, pedestrian and transient oriented, regional destination.

Federal assistance will have a multiplier effect in Vista's revitalization efforts to create new business and job opportunities, improve infrastructure, build new housing, and bring needed community amenities that will drive economic growth. The economic impact of planned redevelopment improvements in the South Santa Fe Corridor is estimated with over 3,500 new jobs, and over 500 new units of housing. Critical to this revitalization effort is preparing a program Environmental Impact Report to stimulate and facilitate private investment. In addition, the City is currently updating the Specific Plan and construction of a storm water detention basin, which will serve to remove a majority of the revitalization area from the 100-year flood plain.

The specific CDBG eligible activity is acquisition of property necessary for construction of the detention basin. The construction of the basin will directly result in 50 jobs. The project is funded with a variety of resources including CDBG, Redevelopment Agency Revolving fund, 1998 CDC Bonds, and 2001 CDC Bonds.

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
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2. **Timing:** The activity listed has been selected based upon its project readiness. The design work is anticipated to be completed by the end of June 2009 and negotiations have already begun for the applicable commercial property needed for the construction of the detention basin. Acquisition related activities should be completed by December 2009. The City anticipates that the construction of the improvements will be completed in 2010 spurring the economic enhancement to the South Santa Fe Corridor.
3. **Economic Benefit:** The economic impact of planned redevelopment improvements in the South Santa Fe Corridor is estimated to create 3,500 new jobs and over 500 new units of housing. This project will spur private development of underutilized parcels in an area of the City that has long suffered from a lack of private development. The area is adjacent to the downtown corridor as well as public transit centers.
4. **Jobs Created:** It is anticipated that this project will increase commercial/retail/office development by 700,000 to 800,000 square feet and create approximately 750 new dwelling units in the corridor. The acquisition and future creation of the detention basin is of short duration but the improvement will provide a dramatic change and benefit to the community with increased provide development with the mixed-use elements.
5. **Other Criteria:** The proposed development will incorporate any applicable energy conservation, smart growth, green-building technologies, or reduced pollution emissions components. In addition, Section 1605 of the Recovery Act applies in that all iron, steel, and manufactured goods used in construction, alteration, or repair of a CDBG-R assisted project must be produced in the United States.

D. Estimated Budget Summary

HUD requires the grantee to complete the following table so that participants in the citizen participation process may see the grantee’s preliminary estimated amounts for various CDBG-R activities. Enter the estimated budget amounts for each activity in the appropriate column and row. The grantee will be required to report actual amounts in subsequent reporting.

Following is a summary of the proposed use of CDBG-R funds:

Activity Name	Activity Description	CDBG-R funds budgeted	Eligibility Category	National Objective Citation	Additional Recovery Act funds
Redevelopment Community Improvement Project (RCIP)	Construction of sidewalks and traffic calming measures	Allocation	570.201 (c) Public Facility and Improvements	LMA	
Santa Fe/Mercantile Detention Basin	Acquisition of property for the construction of a storm drain basin	Allocation	570.201 (a) Acquisition	LMA	

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Community Development Block Grant – R Funding
City of Vista**

Total Activity Budget		\$318,134			
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Total Activity Budget	\$318,134
Administration (up to 10% of allocation)	\$0
Total CDBG-R Amount Budgeted	\$318,134.00

E. Authorized Signature

By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete, and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

Signature/Authorized Official

Date

City Manager

Title

Application for Federal Assistance SF-424

Version 02

*1. Type of Submission:

- Preapplication
- Application
- Changed/Corrected Application

*2. Type of Application

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s)

*Other (Specify) _____

3. Date Received:

4. Applicant Identifier:

B-08-MC-0575

5a. Federal Entity Identifier:

*5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*a. Legal Name: City of Vista

*b. Employer/Taxpayer Identification Number (EIN/TIN):
95-2259585

*c. Organizational DUNS:
078726619

d. Address:

*Street 1: 600 Eucalyptus Avenue
Street 2: _____
*City: Vista
County: San Diego
*State: CA
Province: _____
*Country: United States
*Zip / Postal Code 92084

e. Organizational Unit:

Department Name:
Redevelopment & Housing Department

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: _____ *First Name: Cecilia
Middle Name: _____
*Last Name: Barandiaran
Suffix: _____

Title: Management Analyst

Organizational Affiliation:

*Telephone Number: (760) 639-6191

Fax Number: (760) 639-6188

*Email: cbarandiaran@cityofvista.com

Application for Federal Assistance SF-424

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***9. Type of Applicant 1: Select Applicant Type:**

C. City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.253

CFDA Title:

Community Development Block Grant-R

***12 Funding Opportunity Number:**

14.253

*Title:

Community Development Block Grant-R

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Proposed projects are located within the City of Vista, California.

***15. Descriptive Title of Applicant's Project:**

Two potential projects have been identified. The first proposed project is the Redevelopment Community Improvement Project (RCIP) that addresses poor existing infrastructure in low-income areas of the community. Two of the projects are located in the heart of the Vista Townsite Area a predominantly very-low income Hispanic neighborhood with poor infrastructure. These projects include sidewalks on Townsite Drive from Indian Rock Road to 578 Townsite Drive – projected construction cost of \$260,000 and traffic calming measures for the intersection of Townsite Drive and N. Citrus Avenue – projected construction costs of \$108,000.

The Second proposed project is the Santa Fe/Mercantile Corridor Revitalization Project (Storm Drain Basin Improvements). Federal

assistance will have a multiplier effect in Vista's revitalization efforts to create new business and job opportunities, improve infrastructure, build new housing, and bring needed community amenities that will drive economic growth. The economic impact of planned redevelopment improvements in the South Santa Fe Corridor is estimated with over 3,500 new jobs, and over 500 new units of housing. Critical to this revitalization efforts is the preparing a program Environmental Impact Report to stimulate and facilitate private investment. In addition, the City is currently updating the Specific Plan and construction of a storm water detention basin, which will serve to remove a majority of the revitalization area from the 100-year flood plain.

OMB Number: 4040-0004
 Expiration Date: 01/31/2009

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

*a. Applicant: 49th Congressional District
 District

*b. Program/Project: 49th Congressional

17. Proposed Project:

*a. Start Date: 06/01/09

*b. End Date: 12/31/10

18. Estimated Funding (\$):

*a. Federal	_____	318,134
*b. Applicant	_____	
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income	_____	
*g. TOTAL	_____	318,134

***19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on 05/27/09
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E. O. 12372

***20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: Ms. *First Name: Rita
 Middle Name: L.
 *Last Name: Geldert

Suffix: _____	
*Title: City Manager	
*Telephone Number: (760) 639-6131	Fax Number:
* Email: rgeldert@cityofvista.com	
*Signature of Authorized Representative:	*Date Signed:

Authorized for Local Reproduction

Standard Form 424 (Revised 10/2005)

Prescribed by OMB Circular A-102

Application for Federal Assistance SF-424

Version 02

***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 80 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form (including the continuation sheet) required for use as a cover sheet for submission of preapplications and applications and related information under discretionary programs. Some of the items are required and some are optional at the discretion of the applicant or the Federal agency (agency). Required items are identified with an asterisk on the form and are specified in the instructions below. In addition to the instructions provided below, applicants must consult agency instructions to determine specific requirements.

Item	Entry:	Item	Entry:
1.	Type of Submission: (Required) Select one type of submission in accordance with agency instructions. <ul style="list-style-type: none"> • Preapplication • Application • Changed/Corrected Application – If requested by the agency, check if this submission is to change or correct a previously submitted application. Unless requested by the agency, applicants may not use this to submit changes after the closing date. 	10.	Name Of Federal Agency: (Required) Enter the name of the Federal agency from which assistance is being requested with this application.
		11.	Catalog Of Federal Domestic Assistance Number/Title: Enter the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested, as found in the program announcement, if applicable.
2.	Type of Application: (Required) Select one type of application in accordance with agency instructions. <ul style="list-style-type: none"> • New – An application that is being submitted to an agency for the first time. • Continuation - An extension for an additional funding/budget period for a project with a projected completion date. This can include renewals. • Revision - Any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be selected. If "Other" is selected, please specify in text box provided. <ul style="list-style-type: none"> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration E. Other (specify) 	12.	Funding Opportunity Number/Title: (Required) Enter the Funding Opportunity Number and title of the opportunity under which assistance is requested, as found in the program announcement.
		13.	Competition Identification Number/Title: Enter the Competition Identification Number and title of the competition under which assistance is requested, if applicable.
		14.	Areas Affected By Project: List the areas or entities using the categories (e.g., cities, counties, states, etc.) specified in agency instructions. Use the continuation sheet to enter additional areas, if needed.
3.	Date Received: Leave this field blank. This date will be assigned by the Federal agency.	15.	Descriptive Title of Applicant's Project: (Required) Enter a brief descriptive title of the project. If appropriate, attach a map showing project location (e.g., construction or real property projects). For preapplications, attach a summary description of the project.
4.	Applicant Identifier: Enter the entity identifier assigned by the Federal agency, if any, or applicant's control number, if applicable.	16.	Congressional Districts Of: (Required) 16a. Enter the applicant's Congressional District, and 16b. Enter all District(s) affected by the program or project. Enter in the format: 2 characters State Abbreviation – 3 characters District Number, e.g., CA-005 for California 5 th district, CA-012 for California 12 th district, NC-103 for North Carolina's 103 rd district. <ul style="list-style-type: none"> • If all congressional districts in a state are affected, enter "all" for the district number, e.g., MD-all for all congressional districts in Maryland. • If nationwide, i.e. all districts within all states are affected, enter US-all. • If the program/project is outside the US, enter 00-000.
5a.	Federal Entity Identifier: Enter the number assigned to your organization by the Federal Agency, if any.		
5b.	Federal Award Identifier: For new applications leave blank. For a continuation or revision to an existing award, enter the previously assigned Federal award identifier number. If a changed/corrected application, enter the Federal Identifier in accordance with agency instructions.		
6.	Date Received by State: Leave this field blank. This date will be assigned by the State, if applicable.		
7.	State Application Identifier: Leave this field blank. This identifier will be assigned by the State, if applicable.		
8.	Applicant Information: Enter the following in accordance with agency instructions:	17.	Proposed Project Start and End Dates: (Required) Enter the proposed start date and end date of the project.
a. Legal Name: (Required) Enter the legal name of applicant that will undertake the assistance activity. This is the name that the organization has registered with the Central Contractor Registry. Information on registering with CCR may be obtained by visiting the Grants.gov website.			
b. Employer/Taxpayer Number (EIN/TIN): (Required) Enter the Employer or Taxpayer Identification Number (EIN or TIN) as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-4444444.			
c. Organizational DUNS: (Required) Enter the organization's DUNS or DUNS+4 number received from Dun and Bradstreet. Information on obtaining a DUNS number may be obtained by visiting the Grants.gov website.			
d. Address: Enter the complete address as follows: Street address (Line 1 required), City (Required), County, State (Required, if country is US), Province, Country (Required), Zip/Postal Code (Required, if country is US).			
e. Organizational Unit: Enter the name of the primary organizational unit (and department or division, if applicable) that will undertake the		18.	Estimated Funding: (Required) Enter the amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses.
		19.	Is Application Subject to Review by State Under Executive Order 12372 Process? Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the

	<p>assistance activity, if applicable.</p> <p>f. Name and contact information of person to be contacted on matters involving this application: Enter the name (First and last name required), organizational affiliation (if affiliated with an organization other than the applicant organization), telephone number (Required), fax number, and email address (Required) of the person to contact on matters related to this application.</p>	<p>State intergovernmental review process. Select the appropriate box. If "a." is selected, enter the date the application was submitted to the State</p>		
20.		<p>Is the Applicant Delinquent on any Federal Debt? (Required) Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.</p> <p><i>if yes, include an explanation on the continuation sheet.</i></p>		
21.	<p>9. Type of Applicant: (Required) Select up to three applicant type(s) in accordance with agency instructions.</p> <table border="0" data-bbox="162 625 844 1001"> <tr> <td data-bbox="162 625 503 1001"> <p>A. State Government</p> <p>B. County Government</p> <p>C. City or Township Government</p> <p>D. Special District Government</p> <p>E. Regional Organization</p> <p>F. U.S. Territory or Possession</p> <p>G. Independent School District</p> <p>H. Public/State Controlled Institution of Higher Education</p> <p>I. Indian/Native American Tribal Government (Federally Recognized)</p> <p>J. Indian/Native American Tribal Government (Other than Federally Recognized)</p> <p>K. Indian/Native American Tribally Designated Organization</p> <p>L. Public/Indian Housing Authority</p> </td> <td data-bbox="503 625 844 1001"> <p>M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>O. Private Institution of Higher Education</p> <p>P. Individual</p> <p>Q. For-Profit Organization (Other than Small Business)</p> <p>R. Small Business</p> <p>S. Hispanic-serving Institution</p> <p>T. Historically Black Colleges and Universities (HBCUs)</p> <p>U. Tribally Controlled Colleges and Universities (TCCUs)</p> <p>V. Alaska Native and Native Hawaiian Serving Institutions</p> <p>W. Non-domestic (non-US) Entity</p> <p>X. Other (specify)</p> </td> </tr> </table>	<p>A. State Government</p> <p>B. County Government</p> <p>C. City or Township Government</p> <p>D. Special District Government</p> <p>E. Regional Organization</p> <p>F. U.S. Territory or Possession</p> <p>G. Independent School District</p> <p>H. Public/State Controlled Institution of Higher Education</p> <p>I. Indian/Native American Tribal Government (Federally Recognized)</p> <p>J. Indian/Native American Tribal Government (Other than Federally Recognized)</p> <p>K. Indian/Native American Tribally Designated Organization</p> <p>L. Public/Indian Housing Authority</p>	<p>M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>O. Private Institution of Higher Education</p> <p>P. Individual</p> <p>Q. For-Profit Organization (Other than Small Business)</p> <p>R. Small Business</p> <p>S. Hispanic-serving Institution</p> <p>T. Historically Black Colleges and Universities (HBCUs)</p> <p>U. Tribally Controlled Colleges and Universities (TCCUs)</p> <p>V. Alaska Native and Native Hawaiian Serving Institutions</p> <p>W. Non-domestic (non-US) Entity</p> <p>X. Other (specify)</p>	<p>Authorized Representative: (Required) To be signed and dated by the authorized representative of the applicant organization. Enter the name (First and last name required) title (Required), telephone number (Required), fax number, and email address (Required) of the person authorized to sign for the applicant.</p> <p><i>A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)</i></p>
<p>A. State Government</p> <p>B. County Government</p> <p>C. City or Township Government</p> <p>D. Special District Government</p> <p>E. Regional Organization</p> <p>F. U.S. Territory or Possession</p> <p>G. Independent School District</p> <p>H. Public/State Controlled Institution of Higher Education</p> <p>I. Indian/Native American Tribal Government (Federally Recognized)</p> <p>J. Indian/Native American Tribal Government (Other than Federally Recognized)</p> <p>K. Indian/Native American Tribally Designated Organization</p> <p>L. Public/Indian Housing Authority</p>	<p>M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>O. Private Institution of Higher Education</p> <p>P. Individual</p> <p>Q. For-Profit Organization (Other than Small Business)</p> <p>R. Small Business</p> <p>S. Hispanic-serving Institution</p> <p>T. Historically Black Colleges and Universities (HBCUs)</p> <p>U. Tribally Controlled Colleges and Universities (TCCUs)</p> <p>V. Alaska Native and Native Hawaiian Serving Institutions</p> <p>W. Non-domestic (non-US) Entity</p> <p>X. Other (specify)</p>			

CERTIFICATIONS

- (1) **Affirmatively furthering fair housing.** The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.
- (2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.
- (3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:
 1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 2. Establishing an ongoing drug-free awareness program to inform employees about –
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
 3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
 4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
 5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
 6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of Jurisdiction.** The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Following a plan.** The jurisdiction is following a current consolidated plan that has been approved by HUD.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Special Assessments.** The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force.** The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws.** The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with lead-based paint procedures.** The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(14) **Compliance with laws.** The jurisdiction will comply with applicable laws.

(15) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

(16) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(17) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(18) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(19) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(20) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.

Signature/Authorized Official

Date

Title

OPTIONAL CERTIFICATION

CDBG-R

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

Where the urgent need is the current economic conditions, the grantee certifies that the activity is alleviating current economic conditions which pose a threat to the economic welfare of the community in which the activity is being carried out, the recipient is unable to finance the activity on its own, and other sources of funding are not available.

Signature/Authorized Official

Date

Title

Table 3
Consolidated Plan Listing of Projects

Applicant's Name.....City of Vista.....

Priority Need

Infrastructure

Project Title

Redevelopment Community Improvement Project (RCIP)

Project Description

Street, sidewalk, lighting and other similar types of infrastructure improvements in qualified low- and moderate-income census tracts.

Location

Townsite Drive from Indian Rock Road to 578 Townsite Drive and the intersection of Townsite Drive and N. Citrus Avenue.

Objective Number 2008-0022	Project ID
HUD Matrix Code 03K & 03L	CDBG Citation 570.201(c)
Type of Recipient City of Vista	CDBG National Objective LMA
Start Date (07/01/2008)	Completion Date (06/30/2012)
Performance Indicator 01 People	Annual Units
Local ID 1048001.57500.8001	Units Upon Completion

Funding Sources:

CDBG318,134.....
ESG
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total318,134.....

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Prior Year Funds: Yes No

Table 3
Consolidated Plan Listing of Projects

Applicant's Name — City of Vista _____

Priority Need

Infrastructure

Project Title

Santa Fe/Mercantile Corridor Improvement Project (Storm Drain Basin Improvement)

Project Description

The South Santa Fe Corridor suffers from outdated infrastructure, underutilization, and lack of private investment. A significant reason for the lack of investment is that the site is in the flood zone. The creation of a storm drain basin on the southwest corner of South Santa Fe and Monte Vista Road will allow the City to stimulate private development and remove approximately 100 affected parcels from the flood zone.

Location

South Santa Fe Corridor (project location on the southwest corner of S. Santa Fe and Monte Vista Road)

Objective Number 2008-0023	Project ID
HUD Matrix Code 01	CDBG Citation 570.201(c)
Type of Recipient City of Vista	CDBG National Objective LMA
Start Date (07/01/2008)	Completion Date (06/30/2010)
Performance Indicator 01 People	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG	318,134
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	318,134

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Prior Year Funds: Yes No